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(64) **Prosthetic devices.**

(57) A high modulus endoprosthesis comprising a polyaryletherketone and optionally reinforcement which may be fibrous, particulate or relatively massive. Loss of reinforcement to the body tissues may be reduced by provision of polymer rich layer at the surface of the device, particularly in locations where reinforcement is exposed at the surface or is likely to be exposed due to tissue or other abrasion.

PROSTHETIC DEVICES

This invention relates to shaped products adapted for location and use within the living body.

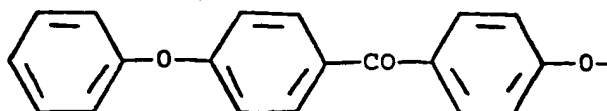
Endoprosthetic and other devices of many shapes, configurations and properties are commonly employed within the living body. The present invention relates to those of such devices which have or comprise a component having a strength and stiffness comparable with that of the bony tissues of the body, and includes devices which comprise also a softer or more flexible and/or harder or less flexible component. For convenience such devices may be referred to hereinafter as "high-modulus devices").

Many such devices will be known to the skilled practitioner. Examples of such devices are so-called "bone plates" which are used to hold fractured bones in alignment during healing; plates or inserts used to cover damage to a bone or to replace damaged or destroyed bone, or to reinforce it, for example in the skull or long bones; and components of replacement joints, particularly the hip joint, although the invention is not limited to such devices. High modulus devices must be of a shape, configuration and properties appropriate to their proposed application, being preferably such that they are acceptable to the living tissue with which they are likely to come into contact when in use for a time sufficient for them to perform their intended function without unacceptable damage or undue discomfort to the individual in whose body the device is located, and ideally for a period such that if appropriate they need not be removed from the body during the life of the individual.

A wide range of materials have been suggested for use in the manufacture of high modulus devices, ranging from metals, such as stainless steel and titanium, through ceramics and plastics to composite materials comprising for example a fibre-filled polymer material, for example carbon-fibre-filled epoxy resin. All such materials have been found to have disadvantages. Thus metal devices of adequate strength may have too high a modulus so that when used for example in bone plates the healing of the bone is impaired. Fibre-resin composites sometimes initiate a tissue reaction which may be a response to the chemical nature of the device or which may in some cases result from the presence in the tissue adjacent the device of fine particles of the fibrous component of the device, resulting possibly from erosion of the fibre where it reaches the surface of the device.

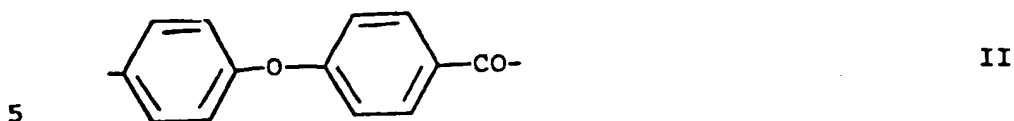
According to one aspect the present invention provides a high modulus device of shape, configuration and chemical properties appropriate to its proposed location and use within the living body, said high modulus device comprising a polyaryletherketone.

The polyaryletherketone component of the polymer composition may be a polymer which contains the repeat units



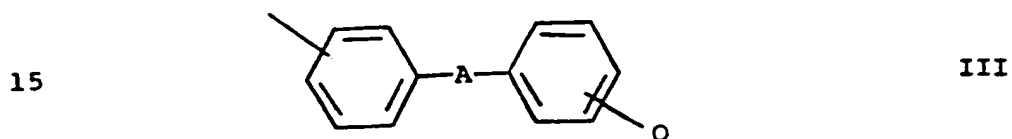
I

or the repeat units



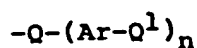
alone or in conjunction with each other and/or in conjunction with other units which may be repeat units.

10 Other units which may be present in the polyaryletherketone are typically of the general formula



where

20 A is a direct link, a sulphur atom, a divalent hydrocarbon radical or a group



25 in which

Q is -CO-, -SO - or -O-;

Q is -CO-, -SO - or -O-;

Ar is a divalent aromatic radical; and

n is 0, 1, 2 or 3.

30 In the formula III, if the group A is a divalent hydrocarbon radical it is typically though not essentially a phenylene group or a dimethylmethylene group (-C(CH<sub>3</sub>)<sub>2</sub>). The aromatic radical Ar is

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preferably a divalent aromatic radical selected from phenylene, biphenylylene or terphenylylene.

We prefer that the polyaryletherketone is a crystalline polymer containing the repeat units I.

5 Especially preferred are such polymers which are tough and have an inherent viscosity (IV) of at least 0.7, as is described in more detail in our European Patent Publication No. 001 879. Inherent viscosity is measured at 25°C on a solution of the polymer in

10 concentrated sulphuric acid of density  $1.84\text{g cm}^{-3}$ , said solution containing 0.1g of polymer per  $100\text{cm}^3$  of solution. As an alternative to IV, an indication of the average molecular weight of the polymer may be obtained by measuring the melt viscosity of the

15 polymer. Preferred polymers have a melt viscosity of at least  $0.1\text{ KNs/m}^2$ , which corresponds to an IV of 0.7. The melt viscosity is measured using a ram extruder fitted with a  $3.175 \times 0.5\text{mm}$  die and operating at  $400^\circ\text{C}$  and a sheer rate of  $1000\text{s}^{-1}$ . Our European Patent

20 102 159 also includes information relating to polymeric and filler components that are suitable for use in the present invention.

For convenience hereinafter the polyaryletherketone will be referred to as PEEK.

25 While we have found PEEK to be particularly well tolerated by living tissues, final determination of suitability for use in any particular location may be determined by use of a sample test as described subsequently, and while it may merely form a component

30 of the high modulus device of the invention preferably PEEK will form the greater part of and more preferably the entire surface of the high modulus device which in use will come into contact with living tissue.

The polymer component of the high modulus device may consist of PEEK alone or may comprise a plurality of polymers, which may be in uniform admixture or otherwise, the presence of any polymeric component additional to the PEEK, and its distribution, 5 being determined with the requirements of the high modulus device, for example its tissue acceptability, and the need for compatibility of the components one with another and their handling and processing characteristics, in mind. 10

For example, certain advantageous properties may be obtained by the use in certain high modulus devices of a composite polymer comprising PEEK and a fluorine-containing polymer, for example PTFE, which may be 15 distributed for example in the form of particles in a matrix of PEEK or may form a coating to at least part of the surface of the device. Polyethersulphone polymers also may be used in admixture with PEEK. The PEEK in such compositions will usually form from 15 to 20 99.5% by weight, conveniently from 30 to 99.5% by weight, and more conveniently from 50 to 99.% by weight. Many other polymers, including, for example, high density polyethylene may also be included as a polymeric component with the PEEK and optionally other 25 constituents, but the effect of the presence of polymers having different physical and chemical properties, particularly relatively low softening polymers, upon the processing and properties of the composite must be taken into consideration. Also the 30 effect of processing conditions, for example the high temperature needed to soften PEEK (about 380°C) during shaping, may degrade certain other polymers.

In addition to the use of PEEK as sole component of the device of the invention, or as one of a

plurality of polymeric components, the invention contemplates its use as a component, optionally the matrix, of a composite comprising one or more structural elements which may be for example fibrous, particulate or relatively massive, and which element  
5 may be uniformly or non-uniformly distributed in the device, and accordingly, the invention provides a high modulus device which is a composite comprising a polyarylether ketone and a structural component or  
10 reinforcement.

Reinforcement of polymers particularly by fibres is well-known and the selection of the size, distribution, proportions and fibre content in fibre-reinforced compositions in order to impart particularly  
15 desired properties thereto is well-established technology.

The fibre component of high modulus device may be any of the range of fibre materials that are available and that are compatible with the polymer  
20 matrix with which they are to be associated and which are capable of imparting the desired properties. Preferred examples are carbon and glass fibres, although fibres of other materials, for example mineral, eg. aluminosilicate, and organic, eg  
25 poly-para-phenylene terephthalamide, are not excluded.

Mixtures of fibres of different composition and/or dimensions may be present in the high modulus product and the fibres may be used in the form of continuous or discontinuous fibres, for example in the  
30 form of rovings, chopped and milled fibres, cloths or mats. The distribution of the fibres in the device may be random or orientated to impart particular flexural and other properties suited to the particular use envisaged and the method employed in the production

of the device of the invention will be selected in this light. For example, where it is important that, say, relatively long fibres should lie in a particular direction, then a method, for example filament winding, pin winding, extrusion or hand lay up may be  
5 appropriate while where random distribution of short fibres is acceptable manufacture by moulding chopped chips or granules containing a fibre/polymer mix may be employed. In production of the shaped device the fact  
10 that PEEK is thermoplastic facilitates final shaping, for example in a heated mould.

Reference has been made to the fact that tissue reaction to endoprostheses sometimes occurs and one cause of such reaction may be release into the tissues  
15 of fragments of reinforcement of composite endoprostheses. Such release may come about in several ways - including abrasion during affixing of the endoprosthesis, for example by the action of tools or attachment means employed, or abrasion by adjacent  
20 living tissues.

We have found it to be advantageous if at least a substantial proportion, and preferably the whole of the surface of the high modulus device of the invention which in use will contact living tissue consists of  
25 tissue compatible polymer (particularly PEEK) with little or no exposure to the tissues of any reinforcement, (including filler or inclusions) whether fibrous or otherwise, and this may be attained by employing production methods whereby uneven  
30 distribution of reinforcement is attained, giving a high modulus product in which at least the abrasion-vulnerable surface region is substantially free of the reinforcement. Such methods may include hand layup techniques, multiple injection moulding techniques,

whereby for example a peripheral region is formed from polymer and an internal, reinforced, region created by subsequent injection, by gel coating techniques, by application of a coating of the polymer upon a core  
5 which optionally may be subjected to a further shaping operation, for example by moulding at high temperatures, as appropriate, etc. Obviously, any operation to which the device is subjected subsequent to formation of the reinforcement-free surface should  
10 preferably be such that reinforcement is not thereby exposed, at least not in a region which in use will contact living tissue or, if it so exposed, it should preferably be in a region which may subsequently be protected, for example by application of polymer  
15 conveniently from solution or melt or other appropriate sealing means.

The thickness of the polymer surface layer will be chosen such that it is effective in reducing reinforcement release. The polymer surface layer may be  
20 very thin, of the order of a few microns, or it may be relatively thick, up to several millimetres, depending for example upon the proposed application of the high modulus device, the degree of erosion to which it is likely to be subjected, the amount of flexing (which  
25 might for example produce cracking of the polymer and hence exposure of underlying reinforcement), the length of time for which it is anticipated that it might remain in the body, and the dimensions of the device. Preferably it will be between 0.1 and 1 mm thick. The  
30 thickness of the surface layer may be different in different regions of the surface.

Particular mention has been made of the use of fibres as co-components with PEEK of the high modulus device of the invention, but it will be understood that  
35 the invention in its broadest sense encompasses any

device for use as described herein in which the surface, or part of it as appropriate is rendered tissue compatible by the concentration at that surface of any suitable polymer. Thus, it includes devices  
5 comprising core components comprising particulate or relatively massive and particularly fibrous (especially carbon and glass fibre) components provided with a surface layer of a tissue compatible polymer. In a further embodiment, for example, there is provided a  
10 device comprising a core component at least part of the surface of which comprises a coating or layer of a tissue compatible polymer, said polymer being held to the core by means of adhesion or by a mechanical key effect, as by penetration to an appropriate depth of  
15 the core component. Such core components may comprise a tissue compatible polymer, for example a polymer found in the surface layer, or it may comprise other less tissue compatible polymers and non polymeric materials, which may be metallic, polymeric, or ceramic  
20 or mixtures of these which themselves may not be tissue compatible. Thus, it may be advantageous for example to employ as or in a core component a composite material as described in one of the specifications of European Patents Numbers 21682, 55035 or 102159, where  
25 the core component may be shaped to a desired form for example by moulding or machining and provided with a complete or partial surface coating or layer of a tissue compatible polymer. Adhesion of the said surface may be by the natural adhesive properties of  
30 one or more of the components, by the use of an appropriate adhesive, by mechanical keying of the surface in the core for example by penetration of the surface or adhesive material into pores in the surface of the core or by a combination of any of these. The  
35 surface of the core component may, where appropriate,

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5 be treated to create a surface character conducive to  
adhesion to it of the surface material. This may be  
effected for example by any convenient means, including  
machining, blowing, drilling, abrading, irradiation,  
chemical treatment, etc.

10 Even where high modulus device is provided with  
a tissue compatible surface layer, preparation of the  
device for insertion in the body may expose potentially  
undesirable reinforcement, for example in the course of  
drilling holes to take fixing screws as in a bone  
plate, and preferably such preparation will be carried  
out by a technique which generates a polymer surface  
over the reinforcement such as by forming the holes or  
following the drilling of the holes by a process in  
15 which the exposed surface is melted or softened so  
that polymer flows over any exposed reinforcement.  
Alternatively, a further treatment may be employed  
whereby the surface may be covered by a further layer  
of polymer for example by applying a polymer coat  
20 either to the entire high modulus device, or locally,  
in the vicinity of and covering any exposed  
reinforcement, such as in a further moulding process.  
The location of inserts in the form of 'linings' for  
holes drilled in the devices of the invention may be  
25 effective also in sealing off potentially harmful  
reinforcement, the material of such inserts may  
conveniently be of tissue compatible polymer, or it may  
be of a suitable metal.

30 The devices of the invention may be employed in  
the living body in a wide variety of applications and  
the physical characteristics of the device, dimensions,  
chemical nature, modulus etc will be selected in the  
light of the proposed use.

Thus, we have found that for use as bone plates a composite comprising long fibre carbon fibre (of the order of several millimeters say 5-20 mm) and PEEK is a convenient composition, whereas for devices which in use are to be employed as bone inserts or joint components it may be preferred to mould a massive core component of a ceramic material of suitable strength and modules, and to provide at least part of the core with a surface layer of tissue compatible polymer. For use as an intramedullary rod we prefer to use a long carbon fibre core.

According to a further aspect of the invention there is provided a device adapted for use in the living body where intimate association is desired between the device and living tissue, comprising a high modulus device as hereinbefore described, at least part of the surface thereof being of a fibrous nature the surface fibres being of a material which is tissue compatible and which in the event of erosion do not initiate tissue reaction. Such fibrous material will preferably be separated from any reinforcement by a layer of tissue compatible material as hereinbefore described.

The specification of Canadian Patent No.1,141,293 describes one method of preparing fibres of dimensions particularly appropriate to the encouragement of living cell ingrowth, and fibres employed in this aspect of the present invention are preferably of dimensions and compositions as described therein. The surface fibrous component may be formed in situ, for example by drawing part or all of material of the surface area of the device into fibres of the desired dimensions and configuration, or by causing fibres, either in the form of a mat or individually to

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adhere to the surface of the device as they are spun, for example by using the device as a mandrel, etc.

It is conventional to shape certain endoprostheses to conform to a desired form, for  
5 example curvature of a bone, and it has been suggested to use electrically conducting reinforcement components, particularly carbon fibre, as heating elements, by leaving carbon fibres projecting from the surface of such devices to which a source of  
10 electricity may be attached. Obviously such exposed fibres are undesirable for the reasons given above.

The present invention further provides a shapable high modulus device comprising a thermoformable polymeric component and a reinforcement  
15 which is electrically conducting, but which is not exposed at the surface of the device. Shaping may be effected by heating the device by induction of a current within the reinforcement to a temperature such that the high modulus device is shapable, shaping the  
20 device and allowing it to cool to a temperature at which it retains its improved shape. Carbon fibres are particularly effective as reinforcement, preferably continuous fibres and disposed in accordance with known induction heating technology.

25 The use of PEEK as the matrix of a fibrous or particulate filler is particularly advantageous in that it is thermoformable to a desired contour, and may be drilled for example by thermal lance as well as by more conventional means.

30 The invention is illustrated by the following Examples, which describes the preparation and testing for tissue compatibility of a PEEK carbon fibre high modulus device, but it will be understood, however, that by selection of other appropriate components and

preparative techniques other devices may conveniently be made.

Illustrated in sectional view in the drawings are, in Figure 1, a cranial plate 1 in situ within an aperture cut into the skull 2; in Figure 2 an insert 3 in a long bone 4 from which part of the bone had been removed; and in Figure 3 a femur head replacement 5 in situ within a femur 6. This last device comprises a core component 7 of a cement composition as described in European Patent Specification No. 21682 and comprising a surface coating of PEEK 8, a bearing surface 9 of titanium and a fibrous surface layer 10.

A bone plate shown in perspective in Figure 4 is shown also in enlarged section in Figure 5, to illustrate the use of a PEEK outer coating 11 upon a core region comprising a PEEK-carbon fibre composite 12. Figure 6 shows the presence of PEEK 13 also after refilling of the screw holes and redrilling.

#### EXAMPLE 1

A sample of "Victrex" PEEK Grade 45 G (ex I.C.I) was injection moulded using an Arburg injection moulding machine with plasticising screw and barrel temperature 380°C into a heated mould held at 150°C to give discs 5 mm diameter and 1 mm thick. These had a flexural modulus of 3.8 GN/m<sup>2</sup> and flexural strength 156 MN/m<sup>2</sup>.

#### EXAMPLE 2

A sample of "Victrex" PEEK 450 CA 30 chips (ex I.C.I) comprising 45G PEEK and 30% w/w short carbon fibre (less than 1 mm length) in intimate admixture was injection moulded generally as described in Example 1 except that they were moulded to form bars. The bars so obtained had flexural modulus 21 GN/m<sup>2</sup> and flexural strength 343 MN/m<sup>2</sup>.

EXAMPLE 3

5 A Granulated PEEK composite comprising 50% carbon fibre of average fibre length 10 mm was injection moulded at 380°C to form a composite sheet having flexural modulus 41 GN/m<sup>2</sup> and flexural strength 372 MN/m<sup>2</sup>.

EXAMPLE 4

10 A quasi isotropic sheet comprising 16 plies of long carbon fibre of average length 25 mm preimpregnated with PEEK 45G, was compression moulded at 400°C to give a sheet product of dimensions 200 x 150 x 3 mm having a flexural modulus of 47 GN/m<sup>2</sup>. The sheet so obtained was shaped to give a curvature of 15 mm radius at 400°C and under 6 tons pressure to give a product from which bars 5 cm x 1 cm were cut to  
15 provide a bone plate of form suitable for attachment to the femur of a cat. Holes were drilled to take attachment screws, and the plate attached to the femur of a cat. After 3 months minor tissue reactions were visible, which was shown microscopically to be related  
20 probably to particles of carbon fibre which were concentrated predominantly in the vicinity of the holes.

25 Samples of all the above materials were placed intramuscularly with the legs of dogs and allowed to remain undisturbed for 30 weeks. At the end of that time the samples from Examples 1 and 2 showed benign response, those from Examples 3 and 4 showed slightly more response but considerably less than samples made from carbon fibre epoxy resin.

30 EXAMPLE 5

Example 4 was repeated except that subsequent to drilling the holes the plate was reintroduced into a mould cavity larger by 1 mm in all dimensions than that used in preparing the original bar. The bar was further

moulded with a sufficient of the same PEEK resin to coat it to a depth of 1 mm and to fill the screw holes, which subsequently were drilled out again without exposing the fibre reinforcement.

- 5            Attachment was to a living bone as described in Example 4, and after 3 months no tissue reaction was evident.

CLAIMS

1. A high modulus prosthetic device comprising a polyaryletherketone.
2. A high modulus prosthetic device according to claim 1 comprising a polymeric component containing repeat units of structure I and/or of structure II as defined herein.
3. A high modulus prosthetic device according to claim 1 or claim 2 comprising units of structure III as defined herein.
4. A high modulus prosthetic device according to any of claims 1 to 3, and comprising fibrous, particulate or relatively massive reinforcement.
5. A high modulus prosthetic device according to claim 4, in which the reinforcement is of carbon fibre.
6. A high modulus prosthetic device comprising reinforcement and a tissue compatible polymer, the distribution of such polymer being such that in use it separates the tissues of the body from the reinforcement.
7. A high modulus prosthetic device according to claim 6 in which the polymeric component forms a layer at the surface of the device.
8. A method of preparing a high modulus endoprosthetic device according to any of claims 1 to 6 which comprises forming the device, including any process exposing reinforcement at the surface of the device and subsequently applying to at least the region of reinforcement so exposed tissue compatible polymer.
- 8.9 A method of applying a high modulus endoprosthetic device of any of claims 1 to 7, comprising cutting, shaping and/or selecting a device of appropriate form and dimensions and locating and affixing the device within the body.

19. A method according to claim 8 in which subsequent to fixation tissue compatible polymer is applied to the device to reduce the likelihood of erosion and loss of reinforcement to the adjacent body tissues.

Fig 1

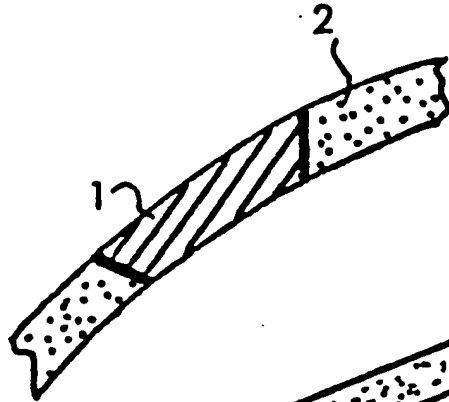


Fig 2

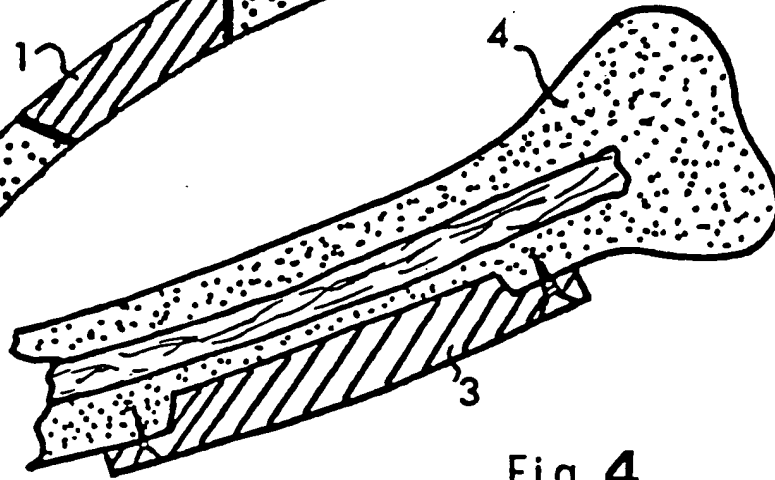


Fig 4

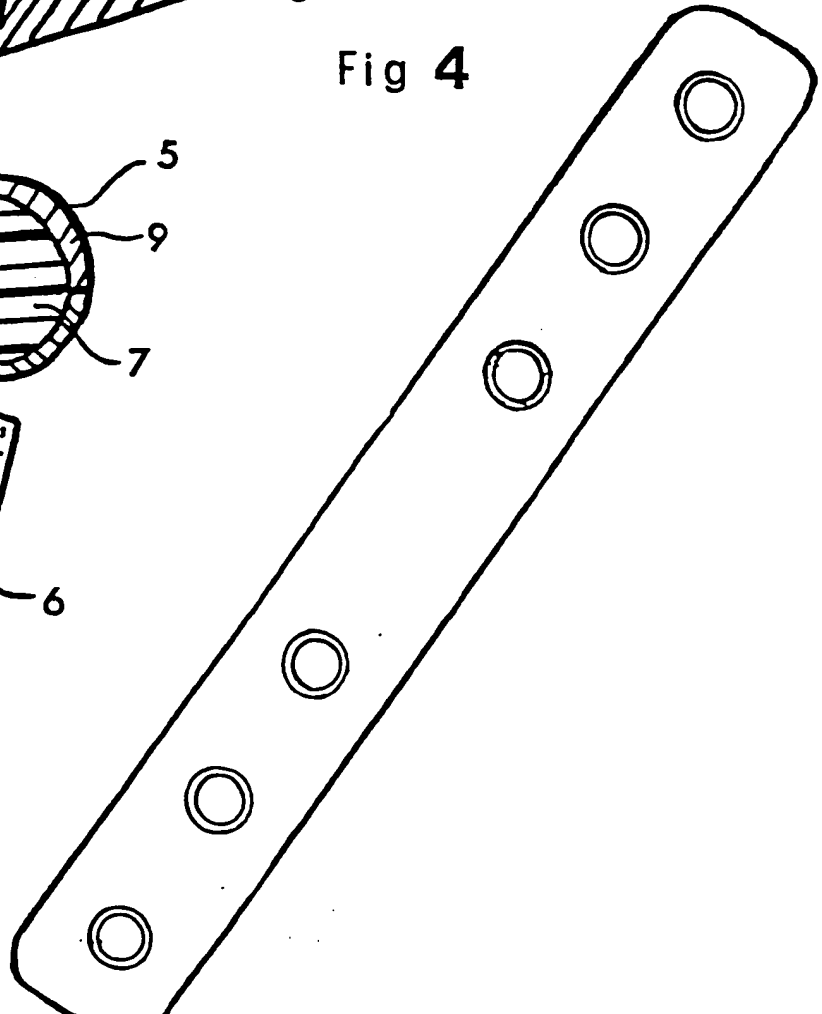
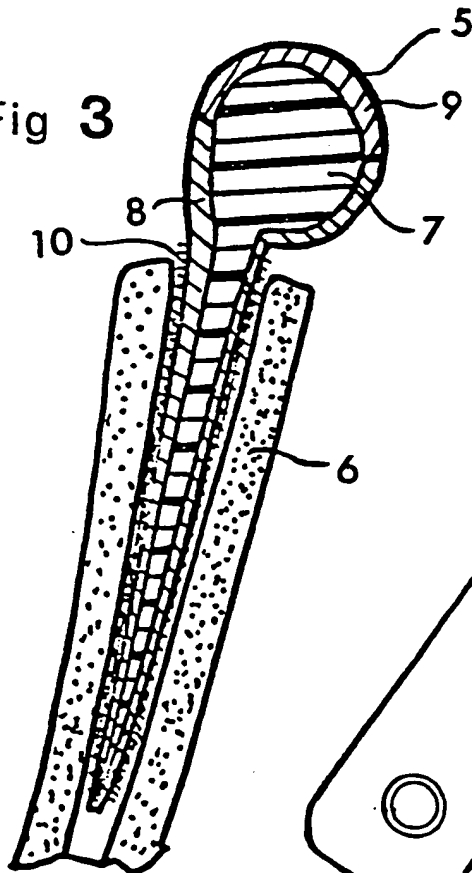
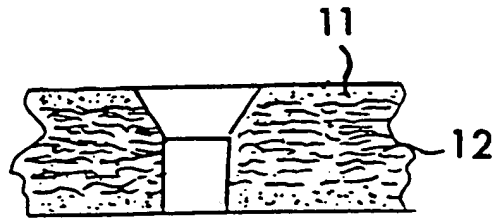
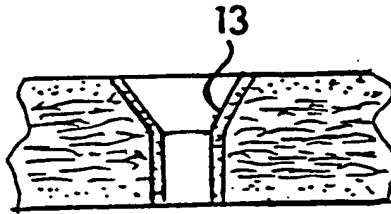


Fig 3



**Fig 5****Fig 6**



European Patent  
Office

# EUROPEAN SEARCH REPORT

0171884  
Application Number

EP 85 30 4039

DOCUMENTS CONSIDERED TO BE RELEVANT			
Category	Citation of document with indication, where appropriate, of relevant passages	Relevant to claim	CLASSIFICATION OF THE APPLICATION (Int. Cl.4)
X	FR-A-2 387 028 (UNION CARBIDE) * Page 12, lines 25-26; page 21, line 27; claims 1-11 *	1-9	A 61 L 27/00
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X	FR-A-2 460 129 (UNION CARBIDE) * Page 17, lines 27-28; claims 1,5,8,12 *	1-9	
	-----		
			TECHNICAL FIELDS SEARCHED (Int. Cl.4)
			A 61 L
The present search report has been drawn up for all claims			
Place of search THE HAGUE		Date of completion of the search 27-09-1985	Examiner PELTRE CHR.
<b>CATEGORY OF CITED DOCUMENTS</b>			
X : particularly relevant if taken alone Y : particularly relevant if combined with another document of the same category A : technological background O : non-written disclosure P : intermediate document		T : theory or principle underlying the invention E : earlier patent document, but published on, or after the filing date D : document cited in the application L : document cited for other reasons & : member of the same patent family, corresponding document	

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